

Customer No.	026418	
Attorney's Docket No.:	GK-ZEI-3149 / 500343.20150	
U.S. Application No.:	10/030,838	
International Application No.:	PCT/EP01/05081	
International Filing Date:	MAY 05, 2001	05 MAY 2001
Priority Date Claimed:	MAY 18, 2000	18 MAY 2000
Title of Invention:	OPTICAL OBSERVATION APPARATUS PROVIDED WITH A SYSTEM DELIVERING INFORMATION VISUALLY PERCEPTEBLE IN THE OBSERVATION BEAN PATH	
Applicant(s) for (DO/EO/US):	Tobias KAUFHOLD, Johannes KNOBLICH and Matthias JOHN	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- [X] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- [] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- [] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- [] 5. A copy of **Publication No. WO01/88591 22NOV01 the International Application as filed** [35 U.S.C. 371(c)(2)]
 - a) ___ is transmitted herewith (required only if not transmitted by the International Bureau)
 - b) ___ has been transmitted by the International Bureau
 - c) ___ is not required, as the application was filed in the United States Receiving Office (RO/US)
- [X] 6. A translation of **Publication No. WO01/88591 22NOV01 the International Application into English** [35 U.S.C. 371(c)(2)]
- [] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 - a) ___ are transmitted herewith (required only if not transmitted by the International Bureau)
 - b) ___ have been transmitted by the International Bureau
 - c) ___ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d) ___ have not been made and will not be made
- [] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- [X] 9. An Executed Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- [] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

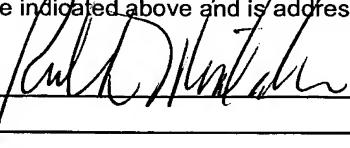
Items 11. to 16. Below concern other document(s) or information included:

- [X] 11. A Supplemental Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- [] 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- [X] 13. A **FIRST** preliminary amendment
— A **SECOND** or **SUBSEQUENT** preliminary amendment
- [X] 14. A substitute specification and abstract (attached to the preliminary amendment)
- [] 15. A change of power of attorney and/or address letter
- [X] 16. (other items or information) **371 Formalities letter dated March 28, 2002; PTO-1449 w/3 references; 3 English Abstracts and Marked-up / Bolded Versions of the Substitute Specification and Abstract.**

EXPRESS MAIL No.: **EV 049 321 650 US**

Deposited: **June 26, 2002**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.


/Ruth Montalvo Date: June 26, 2002

17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

- Search Report has been prepared by the EPO or JPO..... \$ 890.00
- International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 710.00
- No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 740.00
- Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 1,040.00
- International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

Claims	Number Filed	Number Extra	Rate		
Total Claims		-20	x \$ 18. =		
Indep. Claims		-03	x \$ 80. =		

Multiple Dependent Claim(s) (if applicable)

+ \$ 270. =

TOTAL OF ABOVE CALCULATIONS:

Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date [37 CFR 1.492(e)]

\$130.00

TOTAL OF ABOVE CALCULATIONS: \$130.00

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by ½ for filing by small entity

SUBTOTAL: \$130.00

Processing fee of \$130.00 for furnishing the English Translation later than [] 20 [] 30 months from the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE: \$1,020.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property

TOTAL FEE(S): \$130.00

AMOUNTS TO BE REFUNDED OR CHARGED

REFUNDED CHARGED

\$ \$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

Check in the amount of **\$ 130.00** to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gerald H. Kiel, Esq. (Customer No. 026418)

Reed Smith LLP
 375 Park Avenue
 New York, NY 10152

Gerald H. Kiel
 Name (Tel. (212) 521-5400)

Signature

25,116
 Reg. No.

June 26, 2002
 Date

07/03/2002 LLANDGRA 00000057 10030838

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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/030,838	Tobias Kaufhold	GK-ZEI-3149/500343.20150
		INTERNATIONAL APPLICATION NO.
		PCT/EP01/05081
I.A. FILING DATE		PRIORITY DATE
05/05/2001		05/18/2000

026418
 REED SMITH LLP
 375 PARK AVENUE
 NEW YORK, NY 10152

CONFIRMATION NO. 4197
371 FORMALITIES LETTER



OC000000007724134

Date Mailed: 03/28/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

DOCKET

DUE: May 28, 2002 Expected Docket Deadline

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,838	PCT/EP01/05081	GK-ZEI-3149/500343.20150

FORM PCT/DO/EO/905 (371 Formalities Notice)